

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Dufort

Examiner: Christine S. Washington

Int'l App. No.: PCT/GB99/10936

Atty. Docket No.: 32414.24.1

US App. No.: 09/806962

Filing Date: 18 June 1999

Invention: LITHOPHANE-LIKE
ARTICLE AND METHOD
OF MANUFACTURETo: Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231**CERTIFICATE OF MAILING**I hereby certify that this document is being deposited
with the United States Postal Service as First Class Mail
under 37 C.F.R. 1.8 and is addressed to the Assistant
Commissioner for Patents, Washington, D.C. 20231, on12/13/01
Date

Signature

RECEIVED

FEB 20 2002

Technology Center 2100

FILING RECEIPT REQUEST

Applicant has been made aware that no filing receipt has been received regarding the above-referenced patent application. Applicant received a Notice to File Missing Parts which was received 22 August 2001 (copy enclosed). Applicant has responded to the Notice to File Missing Parts on 19 October 2001 (copy enclosed). Applicant hereby respectfully requests that a copy of the filing receipt be forwarded for our records.

Respectfully submitted,

Dated:

12/13/01

Allen W. Groenke
Reg. No. 42,608
Fredrikson & Byron, P.A.
900 Second Avenue South
Minneapolis, MN 55402-3397
(612) 752-26402594419
Enclosure

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.1)

Applicant(s): DUFORT

Docket No.

32414.24.1

Serial No.

09/806962

Filing Date

April 5, 2001

Examiner

Christine S. Washington

Group Art Unit

Invention: LITHOPHANE-LIKE ARTICLE AND METHOD OF MANUFACTURE

I hereby certify that the following correspondence:

Response to Notice to File Missing Parts of Application and formal papers

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

October 19, 2001*(Date)*Stacy Bickel*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EL486370267US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.
32414.24.1

In Re Application Of: DUFORT

Serial No.
09/806962

Filing Date
April 5, 2001

Examiner
Christine S. Washington

Group Art Unit

Invention: LITHOPHANE-LIKE ARTICLE AND METHOD OF MANUFACTURE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

RECEIVED
FEB 20 2002
Technology Center 2100

The fee of _____ is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 061910
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 061910
A duplicate copy of this sheet is enclosed.



Signature

Dated: October 19, 2001

Allen W. Groenke
PTO Reg. No.: 42,608
Fredrikson & Byron, P.A.
1100 International Centre
900 Second Avenue South
Minneapolis, MN 55420
612/752-2640

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
32414.24.1

In Re Application Of: DUFORT

Serial No.
09/806962

Filing Date
April 5, 2001

Examiner
Christine S. Washington

Group Art Unit

Invention: LITHOPHANE-LIKE ARTICLE AND METHOD OF MANUFACTURE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☐ Completion of application fees as calculated below:

☐ Utility application filing fee _____

☐ Design application filing fee _____

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims _____

☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath _____

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees _____

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month

☐ Two months

☐ Three months

☐ Four months

☐ Five months

from: _____

Date

until: _____

Date

Total time extension fees _____

Total fees due _____

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
32414.24.1

In Re Application Of: **DUFORT**

Serial No.
09/806962

Filing Date
April 5, 2001

Examiner
Christine S. Washington

Group Art Unit

Invention: **LITHOPHANE-LIKE ARTICLE AND METHOD OF MANUFACTURE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
August 22, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Return Postcard
Assignment with Transmittal Form
Check in the amount of \$40

EL 486370267US

RECEIVED
FEB 20 2002
Technology Center 2100

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/806962	DUFORT	J 32414.24.1
		INTERNATIONAL APPLICATION NO.

**ALLEN GROENKE
FREDRIKSON & BYRON
1100 INTERNATIONAL CENTRE
900 SECOND AVENUE SOUTH
MINNEAPOLIS, MN 55402**

Received Intellectual
Property Department

AUG 27 2001

PCT/GB99/01936

I.A. FILING DATE	PRIORITY DATE
18 JUN 99	05 OCT 98

DATE MAILED:

22 AUG 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- | | |
|--|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input checked="" type="checkbox"/> Other: IB 331, ISA/210-REFERENCES, PREL. AMENDMENT, INFO. DISCLOSURE STATEMENT |
| <input checked="" type="checkbox"/> Priority Document. 2 | |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |
2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- | | |
|---|---|
| <input type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Copy of the international application. |
|---|---|
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- | |
|--|
| <input type="checkbox"/> a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date. |
| <input type="checkbox"/> The current translation is defective for the reasons indicated on the attached Notice of Defective Translation. |
| <input type="checkbox"/> b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)). |
| <input checked="" type="checkbox"/> c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date. |
| <input type="checkbox"/> The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917. |
| <input type="checkbox"/> d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)). |
4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Christine S. Washington

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3752

TECHNICAL ANALYSIS \$100

Response due: 10-22-01 Missing Parts
 due 9-5-01 D/L

LEB 3 0 5005

RECEIVED

BEST AVAILABLE COPY